

Risk assessment

At Anglo American, our priority is to protect the safety and health of our people, our host communities and the environment in which we live.

In line with this priority, we proactively manage and mitigate risks to our business by continuously identifying, assessing, managing and mitigating these risks.

Through our Community Grants Program, we want to ensure that our business partners and service providers are also aware of potential risks involved in their activity or initiative, whether this be to:

- the people involved in the activity,
- our local environment,
- or other local community members or suppliers.

Please take a few moments to review and complete your responses to the questions below.

Please note:

- We require these questions and tables to be completed for your application to be accepted unless you can provide a completed risk assessment in another format
- If you require assistance completing this risk assessment process - please contact your local Anglo American Social Performance Specialist:
 - Moranbah: MoranbahCommunity@angloamerican.com
 - Moura, Theodore and Banana: Community.Dawson@angloamerican.com
 - Middlemount: Community.Capcoal@angloamerican.com

Risk assessment template

Please use the **Anglo American Risk Matrix** provided below to identify the likelihood and consequence rating for each line item.

1. Project or activity			
Organisation name:			
Location:		Date:	
Project/ activity name:		Name of person conducting assessment:	

2. Assessing risks associated with injury or illness				
#	Does your project/ activity have the potential to cause injury or illness? How? Please list below	What actions will you take to minimise or prevent this from happening?	With these preventive actions applied, what is the likelihood of this occurring? Use Risk Matrix for guidance	What do you believe is the level of consequence? Use Risk Matrix for guidance
1.	<i>Example: Slips, trips and falls</i>	<i>Example: Demarcating unsafe areas</i>	<i>Example: Possible</i>	<i>Example: Minor</i>
2.	<i>Example: Unwanted vehicle/ pedestrian interaction</i>	<i>Example: Use of spotter when reversing</i>	<i>Example: Unlikely</i>	<i>Example: Moderate</i>
3.				
4.				
5.				

2. Assessing risks associated with injury or illness - continued

#	Does your project/ activity have the potential to cause injury or illness? How? Please list below	What actions will you take to minimise or prevent this from happening?	With these preventive actions applied, what is the likelihood of this occurring? Use Risk Matrix for guidance	What do you believe is the level of consequence? Use Risk Matrix for guidance
6.				
7.				
8.				
9.				
10.				

3. Assessing risks associated with the environment

#	Does your project/ activity have the potential to cause injury or illness? How? Please list below	What actions will you take to minimise or prevent this from happening?	With these preventive actions applied, what is the likelihood of this occurring? Use Risk Matrix for guidance	What do you believe is the level of consequence? Use Risk Matrix for guidance
1.	<i>Example: Oil spill</i>	<i>Example: Maintained equipment, spill kits at venue</i>	<i>Example: Unlikely</i>	<i>Example: Minor</i>
2.	<i>Example: Littering/ waste</i>	<i>Example: Adequate bins available</i>	<i>Example: Possible</i>	<i>Example: Minor</i>
3.				
4.				
5.				

3. Assessing risks associated with the environment - continued				
#	Does your project/ activity have the potential to impact the environment? How? Please list below	What actions will you take to minimise or prevent this from happening?	With these preventive actions applied, what is the likelihood of this occurring? Use Risk Matrix for guidance	What do you believe is the level of consequence? Use Risk Matrix for guidance
6.				
7.				
8.				
9.				
10.				

4. Assessing risks associated with the surrounding community/ neighbours				
#	Does your project/ activity have the potential to impact the community? Please list below	What actions will you take to minimise or prevent this from happening?	With these preventive actions applied, what is the likelihood of this occurring? Use Risk Matrix for guidance	What do you believe is the level of consequence? Use Risk Matrix for guidance
1.	<i>Example: Noise</i>	<i>Example: Event operating within business hours</i>	<i>Example: Unlikely</i>	<i>Example: Minor</i>
2.	<i>Example: Increased traffic</i>	<i>Example: Traffic control and communication</i>	<i>Example: Possible</i>	<i>Example: Minor</i>
3.				
4.				
5.				

4. Assessing risks associated with the surrounding community/ neighbours - continued				
#	Does your project/ activity have the potential to impact the community? Please list below	What actions will you take to minimise or prevent this from happening?	With these preventions in place, what do you believe is the likelihood of this occurring? Use Risk Matrix for guidance	What do you believe is the level of consequence? Use Risk Matrix for guidance
6.				
7.				
8.				
9.				
10.				

5. Are there any other risks to identify?				
#	Risk/ Hazard Please list below	What actions will you take to minimise or prevent this from happening?	With these preventive actions applied, what is the likelihood of this occurring? Use Risk Matrix for guidance	What do you believe is the level of consequence? Use Risk Matrix for guidance
1.				
2.				
3.				
4.				
5.				

Anglo American Risk Matrix

Likelihood	Consequence				
	Insignificant	Minor	Moderate	High	Major
Almost Certain	Medium	Significant	Significant	High	High
Likely	Medium	Medium	Significant	High	High
Possible	Low	Medium	Significant	Significant	High
Unlikely	Low	Low	Medium	Significant	Significant
Rare	Low	Low	Medium	Medium	Significant

Consequence rating guidance

Insignificant	1	No injuries, low or no financial loss, low reputational damage, insignificant environmental impact
Minor	2	First aid required, medium financial loss, minor impact on event or activity, low profile media attention, minor environmental impact
Moderate	3	Medical treatment required, high financial loss, external assistance required, public complaints, moderate environmental impact
High	4	Extensive injury, major halt to event or activity, emergency service required, high media attention, damage to reputation, significant environmental impact.
Major	5	Fatality, potential prosecution, catastrophic financial loss, irreversible reputational damage, severe environmental impact

Likelihood rating guidance

Rare	1	This is not expected to occur, once in a lifetime / once in 20 year event
Unlikely	2	This could occur, but probably won't, once in 10 year event.
Possible	3	May occur several times across the Department or a region over a period of time
Likely	4	May be anticipated multiple times over a period of time May occur once every few repetitions of the activity or event
Almost Certain	5	Prone to occur regularly It is anticipated for each repetition of the activity of event